# IN THE UNITED ATES PATENT AND TRADEMAR FFICE REQUEST FOR FILING NATIONAL PATENT APPLICATION

Under 35 USC 111(a) and Rule 53(b)

Hon. Commissioner of Patents Washington, D.C. 20231

#### WITH SIGNED DECLARATION

**NONPROVISIONAL NON REISSUE** 00909 **NON PCT NAT PHASE** 

PATENT APPLICATION



Sir:

Herewith is the **PATENT APPLICATION** of Inventor(s): SHIBATA et al.

ILLIMINATION ADDADATIS

TILLE ILLUMINATION APPARA	1105				
		Atty. Dkt.:	P 283723	T36-137931M/AIO	
		-	M#	Client Ref	
including:	Date: September 28, 2001				
1. Specification: 35 pages (only spec. and claims) 2. Specification in non-English language					
3. Declaration	☐ Facsimile/Copy ☐ Al	bstract <u> </u>	age(s);	16 numbered claims	
4. Drawings: 9			of size: 🖂 A4	11"	
	Provisional, National or Internation	onal application(s). ("X			
complete item 6 or 7).	(Prior M#		_ SN	)	
6. This application claims benefit by this reference:	it of the following prior US applicat	tion(s), the contents o	f which are incorpo	rated into this application	
N	o/ filed				
N	o. / filed				
N	o. / filed				
No. PC				. Which	
	mational Application was	was not publis	shed under PCT Ar	ticle 21(2) in English	
<ul> <li>7. See the attached Preliminary Amendment, which amends the specification to claim benefit of the above listed US application(s)</li> <li>8. Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.</li> <li>9. Assignee (optional):</li> </ul>					
Address					
10. FOREIGN priority is claimed	d under 35 USC 119(a)-(d)/365(b)	based on filing in J	IAPAN		
11	(country)			')	
Application No.	Filing Date	Application No.	Filing	Date	
(1) 2000-296635	September 28, 2000	(2)			
(3)		(4)			
(5)		(6)			
(7)		(8)			
(9)		See 3rd pag	ge for additional pri	orties	
121 (No.) Certified copy (copies):					
in U.S. Application No. / filed on					
13. Small entity status		is claimed (Pre-fil		quired)	
13(a).	(No.) Small Entity Statement(s				
13(b). See NONPUBLICAT	ION REQUEST under Rule 213(a)	) attached (Pat-258)			

14. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(4)	
(2)		(5)	
(3)		(6)	

15. This application is being filed under Rule 53(b)(2) since an inventor is named in the enclosed Declaration who was not named in the prior application.  16. Attached:
17. Preliminary Amendment:

#### THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

				Large/Small Entity		Fee Code
18. Basic Filing Fee				\$710/\$355	\$710	101/201
19. Total Effective Claims	16	minus 20 =	*0	x \$18/\$9 =	+ 0	103/203
20. Independent Claims	2	minus 3 =	*0	x \$80/\$40 =	+ 0	102/202
				*If answer is zero or less, enter "0"		
21. If any proper multiple de (Leave this line blank if this			present , add	+ \$270/\$135	+ 0	104/204
22.			TOTAL F	ILING FEE ENCLOSED =	\$710	•
23. If "non-English" box 2 is X'd, add Rule 17(k) processing fee			+ \$130	+0	139	
24. If "assignment" box 8 is X'd, add recording fee			+ \$40	+ 40	581	
25. Attached is a Petition/Fee under Rule No.			+ \$130	+ 0	122	
26.			T	OTAL FEE ENCLOSED =	\$750	

	if answer is zero or less, enter 0		
ny proper multiple dependent claim (ignore improper) is present , add	+ \$270/\$135	+ 0	104/204
this line blank if this is a reissue application)			
TOTAL FIL	ING FEE ENCLOSED =	\$710	
non-English" box 2 is X'd, add Rule 17(k) processing fee	+ \$130	+ 0	139
assignment" box 8 is X'd, add recording fee	+ \$40	+ 40	581
Attached is a Petition/Fee under Rule No.	+ \$130	+ 0	122
ТО	TAL FEE ENCLOSED =	\$750	
Our Deposit Account No. 03-3975			

Our Order No. 51273 283723 00909 CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or

asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Winthrop LLP

	1111	enectual Froperty Group		
	By Atty:	John P. Darling	Reg. No.	44,482
Atty/Sec: JPD/JRH NOTE: File in duplicate with 2 post car	Sig: d receipts (	Jolul Call		Fax: (703) 905-2500 Tel: (703) 905-2045



## **APPLICATION UNDER UNITED STATES PATENT LAWS**

Atty. Dkt. No. PW 283723 (M#)

Invention: ILLUMINATION APPARATUS

Inventor (s): Minoru SHIBATA

Osamu YAMANAKA Masanobu MUTO

> Pillsbury Winthrop LLP Intellectual Property Group 1600 Tysons Boulevard McLean, VA 22102

	This is a:
	Provisional Application
$\boxtimes$	Regular Utility Application
	Continuing Application  ☐ The contents of the parent are incorporated by reference
	PCT National Phase Application
	Design Application
	Reissue Application
	Plant Application
	Substitute Specification Sub. Spec Filed in App. No. /
	Marked up Specification re Sub. Spec. filed In App. No

### **SPECIFICATION**